CAPABILITY STATEMENT

DMW ADVISORY LLC

Financial Crimes Compliance • ADR • Regulatory Advisory • Guardianship Matters

Denise Wright, Esq. | 646-504-1278 | dwrightesq@dmwadvisoryllc.com

Core Competencies

DMW Advisory LLC provides attorney-led financial crimes compliance, regulatory advisory, guardianship and ADR services for clients in highly regulated industries. We design practical compliance frameworks—including policies, procedures, reporting, training, and governance—to help organizations meet BSA/AML, KYC, sanctions, and examination expectations while resolving disputes efficiently and preserving business relationships.

- **Anti-Money Laundering (AML)**
- **Bank Secrecy Act (BSA)**
- **OFAC Sanctions Compliance**
- **Guardianship, Article 81 — Court Evaluator and Guardian Ad Litem**
- **Mediation and Arbitration**
- **Due Diligence and Know Your Customer**
- **Committee Governance**
- **Regulatory Examinations**
- **Internal Audits, Monitoring, and Testing**
- **Sarbanes-Oxley (SOX)**
- **Policies and Procedures**
- **Regulatory Change Management**
- **Regulatory and Compliance Oversight**
- **Financial Crime Governance (AML/BSA/Sanctions)**
- **Audit and Risk Committee Support**
- **Enforcement Action and Crisis Management**

Past Performance

Advoco PC, CA

Bronx Supreme Court, NY

Cluster Community Services, NY

Differentiators

Attorney-led advisory services

Compliance framework design, training, governance, and issue remediation

ADR services that reduce time, cost, and relationship strain

Company Data

DMW Advisory is led by Denise M. Wright, Esq., a New York attorney and CAMS-certified compliance professional with experience building BSA/AML, sanctions, KYC, risk assessment, policy governance, examination management, mediation, and commercial dispute resolution programs.

Pertinent Codes

DUNS: 14-353-9557

CAGE Code: 20VL8

SBA U.S. Small Business Administration WOSB CERTIFIED

NAICS Codes

541611 Administrative Management and General Management Consulting Services; **541618** Other Management Consulting Services; **541690** Other Scientific and Technical Consulting Services; **561611** Investigation-Related Services; **541199** Mediation, Arbitration, and Conflict Resolution; **611430** Professional and Management Development Training; **541990** Government and Regulatory Support.